
ANDREW J. NORRIS
Captain, U.S. Coast Guard (retired)
359 Stony Lane, North Kingstown, RI 02852
+1 (401) 871-7482
E-mail: anorris@independentmaritime.com

**Professional
Résumé**

Senior Consultant with Independent Maritime Consulting LLC (IMC LLC)

IMC LLC acts as Commercial Correspondents for several major Hull and Machinery (H&M) and Protection & Indemnity (P&I) underwriters which includes providing claims handling assistance to their assured, performing surveys and investigating casualties of all kinds, mitigating and evaluating damages, assisting in coordinating repairs and providing technical advice. In addition, IMC provides surveys, technical consulting and expert witness services to maritime law firms, vessel Owners, Charterers and their liability underwriters in connection with major casualties, personal injury and cargo claims. IMC also helps clients in the marine transportation and oil and gas industries comply with environmental and emergency response regulations and requirements.

Particular Areas of Expertise.

As a result of my 22 years of service as a U.S. Coast Guard attorney, I have vast experience dealing with maritime regulatory compliance issues, superior writing skills, and the maturity, judgment, and analytical abilities expected of a senior military officer. Particular areas of expertise include:

Regulatory compliance surveying and auditing. My thorough knowledge of and ability to interpret regulatory regimes, coupled with my Coast Guard investigating officer training and ability to discern fact from fiction through my service as a trial court and appellate court judge, uniquely qualify me to offer such services

Regulatory/legal writing. I am skilled and experienced at understanding and interpreting laws and regulations, and can convert that knowledge and skill into written products that “speak” the language of the regulation.

Ballast water compliance. I can advise and assist clients in complying with U.S. ballast water regulations, including applying for extensions to the compliance deadline, which will get much more difficult once one or more systems are Coast Guard type-approved.

Litigation support. I have litigated in criminal trials as both prosecutor and defense counsel, in civil trials, and at administrative hearings. I have served as a magistrate judge, trial court judge, and appellate court judge, and closely advised and educated

U.S. Attorneys prosecuting Coast Guard-related cases. This vast experience enables me to offer tremendous support to attorneys or their clients in anticipation of litigation.

Security related services. I can provide a full range of security related services, including drafting or review of vessel and facility security plans, and training of vessel or facility security personnel.

CAREER HIGHLIGHTS

2010-2016

Senior Coast Guard Officer and Professor, Naval War College and National Defense University; faculty member, International Law Department, Naval War College

- Provided graduate-level Socratic instruction in Joint Military Operations (at the Naval War College) and National Security Studies (at the National Defense University) to seminar group of senior military/civilian agency/foreign military students.
- Provided graduate-level instruction on law of the sea, maritime law enforcement, and rules on the use of force.
- As senior service representative, served and protected Coast Guard equities at DOD commands; served in commanding officer capacity to Coast Guard student contingent.

2012-2016

Collateral duty appellate court judge

- Selected by the Coast Guard Judge Advocate General to serve as a judge on the Coast Guard Court of Criminal Appeals; heard oral arguments, reviewed records of trial, ruled on appellate issues relating to Coast Guard courts-martial, wrote published opinions of court.

2006-2010

Staff Judge Advocate, Fourteenth Coast Guard District, Honolulu, HI

- Principal legal advisor to the district commander and other senior leaders in a 12.2 million square mile area of responsibility in the western and central Pacific Ocean. Provided advice across the full spectrum of Coast Guard missions, including the marine safety, law enforcement, and ports, waterways, and coastal security missions. Served as legal advisor to Captain of the Port, Officer in Charge of Marine Inspection, and Federal On Scene Coordinators in Honolulu, Hawaii and Apra, Guam
- Coordinated all aspects of the investigation of alleged oily water separator (OWS) bypass aboard SS Maj. Stephen W. Pless; initiated criminal referral of Chief Engineer; extensively involved with U.S. Attorney's office in case preparation and prosecution, through entry of guilty plea.
- Oversaw all rule-making activities; defended in court against APA, NEPA, other challenges.
- Provided assistance to U.S. Attorneys in civil and criminal proceedings involving Coast Guard matters; agency legal liaison to EPA, ICE, U.S. Attorney, State AG, et al.

2006-2012

Collateral appointment, military trial court judge

- As military judge, ruled on preliminary motions, conducted pre-hearing conferences, issued subpoenas, conducted hearings (including written and/or oral testimony and cross-examination), reviewed briefs, presided over jury trials, prepared and issued decisions, along with written findings of fact and conclusions of law.

2002-2006

Professor, Coast Guard Academy, New London, CT

- Taught and served as course coordinator of two core law courses, Criminal Justice and Maritime Law Enforcement. Educated 500+ future Coast Guard leaders.

1998-2002

Personnel Action Team Leader, Casualty Investigator, Marine Safety Office New Orleans, LA

- Sole attorney and supervisor of 5-officer team responsible for taking administrative action before Coast Guard Administrative Law Judges to suspend or revoke the licenses of merchant mariners who engaged in acts of misconduct, negligence, drug abuse, violation of law or regulation.
- Oversaw completion of approximately 1300 proceedings (over 15% of Coast Guard total) during this period; approved all complaints and settlement agreements (binding contracts between mariner and government disposing of case); served as lead counsel at all contested cases (50+).
- Qualified Coast Guard marine casualty investigator; on-scene responder to scores of marine casualties, up to and including multiple fatalities; served as one-man formal investigation officer (IO) in case involving 4 designated parties in interest, 18 witnesses, 100+ exhibits; served as legal advisor to one-man formal IO in 5-fatality collision. Completed DNV/U.S. Merchant Marine Academy/Coast Guard Advanced IO courses.

1994-1998

Staff Attorney, Maintenance and Logistics Command Atlantic, Norfolk, VA

- Provided full range of legal support to Atlantic Area/Fifth Coast Guard District units and personnel, e.g. drafting regulations, ethics advice, operational law advice.
- Prosecutor and defense counsel at more than 50 courts-martial; represented 80+ service members at administrative discharge boards.

1985-1994

U. S. Navy Surface Line Officer

- Qualified Engineering Officer of the Watch (EOOW). Served as electrical officer, main propulsion division officer, main propulsion assistant.

EDUCATION

University of Florida, 1990-1993 – JD (with honors).
University of Virginia, 1980-1984 – BA in biology.
Naval Justice School, 1994 (3rd out of 54 attorneys)

LICENSURE

Member, Maryland bar (good standing December 1993 – present).

AFFILIATION

Adjunct faculty, Roger Williams University School of Law, 2010-2015 (taught 3-credit Law of the Sea elective).

Adjunct faculty, University of Rhode Island, 2015-2016 (taught 3-credit International Oceans Law elective).

SELECT PUBLICATIONS (2011-current)

2018 Author, “Uninvited and Unwelcome: The S.S. *Appam* and the U.S. Law of Neutrality,” *European Journal of International Law*, vol. 29 (2018) no. 2 (pending).

2018 Author, “Block 9: Flashpoint for the Next Lebanon War?,” *Tablet* magazine online

2017 Lead author and editor, “Technical Analysis of Vessel Discharges in the Northern Bering Sea and Bering Strait Region,” commissioned by The Pew Charitable Trusts

2017 Author, “De-mystifying the targeting process for Port State Control exams,” *Professional Mariner*, May 2, 2017.

This article was referenced and linked in IMO’s monthly *Current Awareness Bulletin* published by the Maritime knowledge Centre

2017 Author, “US type approval changes landscape for BWMS compliance extensions,” *Professional Mariner*, Feb. 27, 2017

2017 Co-author, “The Central Arctic Ocean - Preventing Another Tragedy of the Commons,” 53 *Polar Record*, No. 1, January 2017

2016 Author, “In-Depth Insight: The United States Coast Guard BWMS Type Approval Review Checklist,” *Ship Efficiency Review*, October 29, 2016

2016 Author, “In The Spotlight: U.S. Coast Guard Ballast Water Compliance Extension Requests,” *Ship Efficiency Review*, September 30, 2016

2016 Author, “US Coast Guard issues new guidance regarding ballast water alternate management systems,” *Ballast Water Treatment Technology*, July 29, 2016

2015 Author, “Rum Row: The Sinking of the Rum Runner I’m Alone,” 24 *Tulane Journal of International and Comparative Law*, Winter 2015

2015 Author, "Fishy Business: The High Seas Boarding and Inspection Regime in the Western and Central Pacific Ocean," *Ocean Developments and International Law*, vol. 64, issue 4 (2015)

2015 Author, "Developments in the Law of Piracy," 13 *Benedict's Mar. Bull.* page 117 (Third Quarter 2015)

2014 Author, "A Maelstrom of International Law and Intrigue: The Remarkable Voyage of the S.S. City of Flint," *Journal of American Legal History*, Vol. 54, Issue 1 (2014)

This article was selected as one of two long articles nationwide to receive the 2014 Green Bag "exemplary legal writing" award

2013 Author, "Legal Issues Relating to Unmanned Maritime Systems," monograph, U.S. Naval War College, International Law Department, February 2013

2012 Co-editor, *Non-International Armed Conflict in the Twenty-First Century*, U.S. Naval War College International Law Studies, vol. 88, Kenneth Watkin & Andrew Norris, eds., (Newport: U.S. Naval War College, 2012)

2011 Author, "The Other Law of the Sea," *Naval War College Review*, summer 2011

SELECT SPEAKING AND OTHER ENGAGEMENTS

Keynote speaker, Star Island International Affairs Conference - "The Oceans: Protecting an International Resource," July 2017

Panelist, Environmental Crimes Prosecutions, Maritime Law Association, New York, May 2017.

U.S. delegation leader at 11-nation "Enhancing Maritime Safety Workshop" in Singapore, sponsored by Asia-Pacific Center for Security Studies, April 2017.

Keynote speaker, Marine Technology and the North symposium, Portland ME, October 2016.

Speaker, Compliance and Enforcement Issues Related to U.S. Ballast Water Regulations, Posidonia Maritime Conference, Athens, Greece, June 2016.

Speaker, Cooperative Regional Fisheries Mechanisms, Asia-Pacific Center for Security Studies sponsored trip to address Malaysian, Vietnamese, and Indonesian officials, March 2016.

Speaker, Legal Issues Associated with Unmanned Maritime Systems, Institute for Defense and Government Advancement Unmanned Maritime System Conference, Washington D.C., December 2015.

Speaker, Legal Developments in the Law of Neutrality During World War I, Oxford University workshop, September 2015.